

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

Atty. D	kt. No: 5760-12800	§ .
Invento M	or(s): argaret E. Knight and Rufeng Li	70000000
Title:	BALANCING MOST FREQUENTLY USED FILE SYSTEM CLUSTERS ACROSS A PLURALITY OF DISKS	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: <u>EV318247643US</u>
DATE OF DEPOSIT: <u>September 3, 2003</u>

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

**Application Elements** 

1. Filing Fee

The filing fee is calculated as shown below.

Total Claims	34	-20=	14	x \$18.00=	\$252.00
Independent					
Claims	6	-3 =	3	x \$84.00=	\$252.00
Multiple Dependent Claims Fee:			Fee:		
Basic Fee:				\$750.00	
Sub-Total:				Sub-Total:	
Reduction by 50% for Small Entity:				for Small Entity:	
Assignment Fee				\$40.00	
				Total:	\$1,294.00

☐ The Commissioner is hereby authorized to charge the filing fee and any other fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel PC, Deposit Account No. 501505/5760-12800.

^	$\overline{}$	a .	~	. •
•	IXI	Speci	iticai	tion.

23 page(s) of specification; 8 page(s) of claims, 1 page(s) of abstract

3. Drawings

Informal Figure(s) 1-5 on 5 sheet(s)

4. Oath or Declaration

Newly executed

Copy from a prior application (see 37 C.F.R. § 1.63(d))

Deletion of Inventor(s) (in continuation or divisional applications):

Delete the following inventor(s) named in the prior non-provisional application:

The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.

09/05/2003 FFANREIA 00000014 501505 10653751 Sale-Ref: 04004029 THH: 501505 10653751 01 FC:1001 750.00 DA 02 FC:1201 -158.00 DA 03 FC:4202 252.00 DA



6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
Computer Readable copy
Paper Copy (identical to computer copy)
Statement verifying identity of above copies
8. Assignment Papers
9. Power of Attorney
☐ Is attached.
The power of attorney appears in the original papers of the prior application.
Since the power does not appear in the original papers, a copy of the power in the prior
application is enclosed.
10. Information Disclosure Statement (IDS)
Copies of IDS Citations
11. Amendments
A preliminary amendment is enclosed.
Cancel in this application claim(s) before calculating the filing fee. At least one
independent claim is retained for filing purposes.
Amend the specification by inserting before the first line the sentence:
12. Return Receipt Postcard
13. Small Entity Status
A small entity statement is enclosed.
A small entity statement was filed in the prior non-provisional application and such status is
still proper and desired.
☐ Is no longer claimed.
14. Priority of foreign application number, filed on is claimed under
35 U.S.C. §§ 119(a)-(d)
15. Petition under 37 C.F.R. § 136 for Extension of Time
15. Fee Authorization forms
16. ⊠ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
Address all future correspondence to:
Jeffrey C. Hood
Meyertons, Hood, Kivlin, Kowert & Goetzel PC
P.O. Box 398
Austin, Texas 78767
Phone: (512) 853-8800 Fax: (512) 853-8801
Signature Mark S. Whiseoc
Name Mark S. Williams
Registration No. 50,658 Date September 3, 2003



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FEE AUTHORIZATION

Atty. Dkt. No: 5760-12800	\$ CERTIFICATE OF EXPRESS MAIL
Inventor(s):  Margaret E. Knight and Rufeng Li	WNDER 37 C.F.R. §1.10  S  "Express Mail" mailing label number: EV318247643US DATE OF DEPOSIT: September 3, 2003
Title: BALANCING MOST FREQUENTLY USED FILE SYSTEM CLUSTERS ACROSS A PLURALITY OF DISKS	I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:  Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Angela Hesse

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons, Hood, Kivlin, Kowert & Goetzel PC Deposit Account Number 501505/5760-12800:

Total Claims	34	-20=	14	x \$18.00=	\$252.00
Independent				#04.00	# <b>a</b> 53.00
Claims	6	-3 =	13	x \$84.00= Basic Fee:	\$252.00 \$750.00
				Total:	\$1,254.00

Attorney Docket No.: <u>5760-12800</u>

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number.

Respectfully submitted,

Mark S. Williams Reg. No. 50,658

Agent for Applicants

Meyertons, Hood, Kivlin, Kowert & Goetzel PC

P.O. Box 398

Austin, Texas 78767-0398

Ph: (512) 853-8800 Date: September 3, 2003 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EV318247643US DATE OF DEPOSIT: September 3, 2003

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## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

Atty Docket Number:

5760-12800

First Named Inventor:

Margaret E. Knight

Title:

BALANCING MOST FREQUENTLY USED FILE SYSTEM CLUSTERS ACROSS A PLURALITY OF DISKS

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b)

September 3, 2003

Date

Signature

Mark S. Williams

50 658

Typed or printed name

Reg. No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.